



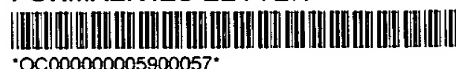
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,064	12/20/2000	Hiroshi Yoshida	KAW-238-USAP

CONFIRMATION NO. 1137

## FORMALITIES LETTER



\*OC000000005900057\*

Snider & Associates  
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Date Mailed: 03/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/09/2001 TGEDR001 00000038 09740064

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

S/N: 09/740,064

4/6/2001

DOCKET NO.: KAW-238-USAP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hiroshi YOSHIDA, et al.

Serial No.: 09/740,064

Art Unit: TO BE ASSIGNED

Filed: December 20, 2000

Examiner: TO BE ASSIGNED

For: GAMING MACHINE

**SUBMISSION OF MISSING PARTS OF APPLICATION**

UNDER 37 C.F.R. 1.53

Assistant Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

**BOX: MISSING PARTS**

Sir:

Enclosed are the following items for filing with the above-referenced pending U.S. Patent Application, in response to the Notice to File Missing Parts of Application:

1. Notice to File Missing Parts of Nonprovisional Application
2. Executed Declaration and Power of Attorney.
3. Recordation Form Cover Sheet
4. Assignment and fee of \$40.00
5. Preliminary Amendment
6. Information Disclosure Statement -- Transmittal, PTO-1449, and Four (4) References
7. Check to cover the basic filing fee of \$710.00 plus the surcharge of \$130.00 and \$40.00 fee for Recordation, in the total amount of \$880.00.

The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 19-2816. A duplicate copy of this sheet is enclosed.

S/N: 09/740,064

4/6/2001

DOCKET NO.: KAW-238-USAP

Having now complied with all requirements of 37 CFR §1.53, Applicant(s) respectfully request that this application be examined.

Respectfully submitted,



Ronald R. Snider  
Reg. No. 24,962

Date: April 6, 2001

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RRS/bam